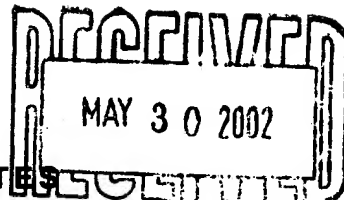




Serial No. 09/826686



2631  
#3  
5-31-2

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

COPY OF PAPERS  
ORIGINALLY FILED

**PATENT APPLICATION**

Inventor(s): **Lee-Fang Wei**

Case: **49**

Serial No.: **09/826686**

Filing Date: **April 5, 2001**

Examiner:

Group Art Unit:

2631

Title: **Upstream Data Transmission**

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**MAY 23 2002**

**Technology Center 2600**

**ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D. C. 20231**

**SIR:**

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97(b)**

In accordance with 37 CFR 1.97(b), the enclosed Information Disclosure Statement, with attached reference(s), is submitted for consideration in the above-identified application.

Copies of the listed documents are enclosed together with the search report that listed these documents.

**NO FEE IS REQUIRED**

In the event of any non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Lucent Technologies Deposit Account No. 12-2325** as required to correct the error.

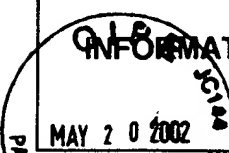
Respectfully,

**John F. McCabe, Attorney**  
**Reg. No. 42854**  
**908-582-6866**

Date: April 26, 2002

**Docket Administrator (Room 3J-219)**  
**Lucent Technologies Inc.**  
**101 Crawfords Corner Road**  
**Holmdel, NJ 07733-3030**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on May 7, 2002 (Date of Deposit)  
  
Date

	<b>INFORMATION DISCLOSURE STATEMENT</b>		Case Name.	L. Wei 49	<b>COPY OF PAPERS ORIGINALLY FILED</b>
			Serial No.	09/826686	
			Applicant:	L. Wei, et al.	
			Filing Date:	April 5, 2001	
			Group:	2631	

**U.S. PATENT DOCUMENTS**

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
	AA	5,418,798	May 23, 1995	Wei	371	43	Apr. 19, 1993
	AB	6,157,678	Dec. 5, 2000	Wei	375	243	May 12, 1997

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation

**OTHER (including Author, Title, Date, Pertinent Pages, etc.)**

	AC	Wei, L. F. et al: <u>Combined Coding and Precoding for Recommendation V.92</u> , V. 92, Rapporteur Meeting, Boca Raton, Florida, 11-14 January, 2000.
	AD	Wei, L. F. et al: <u>Pre-equalization for Recommendation V.90 - Issue 2</u> , Telecommunications Industry Association (TIA), Clearwater, Florida, November 29, 1999.

\*\*\*References listed beyond AZ would list as AA-1, AB-2, AC-3 thru AZ-26.

\*\*\*Note First Page ONLY Header/Footer. Subsequent pages must ONLY have page # layout as header

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<b>EXAMINER</b>	<b>DATE CONSIDERED</b>

**\*Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant